Pioneer Investcorp Limited – SEBI Registered Merchant Banker (SEBI Reg. no. INM000002988) Investor Grievance Data

## 1. Data for the month as on 29th February 2024

| Sr.   | Investor      | Pending   | Received | Resolved | Total   | Pending    | Average    |
|-------|---------------|-----------|----------|----------|---------|------------|------------|
| No.   | Complaints    | as at the |          | *        | Pending | complaints | Resolution |
|       | received      | end of    |          |          | #       | > 3months  | time ^     |
|       | from          | the last  |          |          |         |            | (in days ) |
|       |               | month     |          |          |         |            |            |
| 1     | Directly      | Nil       | Nil      | Nil      | Nil     | Nil        | Nil        |
|       | from          |           |          |          |         |            |            |
|       | Investors     |           |          |          |         |            |            |
| 2     | SEBI          | Nil       | Nil      | Nil      | Nil     | Nil        | Nil        |
|       | (SCORES)      |           |          |          |         |            |            |
| 3     | Other Sources | Nil       | Nil      | Nil      | Nil     | Nil        | Nil        |
|       | (if any)      |           |          |          |         |            |            |
| Total | <u> </u>      | Nil       | Nil      | Nil      | Nil     | Nil        | Nil        |

<sup>^</sup>Average Resolution time is the sum total of time taken to resolve each complaint in days in the current quarter divided by total number of complaints resolved in the current quarter.

## 2. Trend of monthly disposal of complaints

| Sr. | Month       | Carried forward from | Received | Resolved* | Pending # |
|-----|-------------|----------------------|----------|-----------|-----------|
| No. |             | previous month       |          |           |           |
| 1   | April, 2023 | Nil                  | Nil      | Nil       | Nil       |
| 2   | May, 2023   | Nil                  | Nil      | Nil       | Nil       |
| 3   | June, 2023  | Nil                  | Nil      | Nil       | Nil       |

<sup>\*</sup> Inclusive of complaints of previous months resolved in the current month #Inclusive of complaints pending as on the last day of the month.

| 4           | July, 2023      | Nil | Nil | Nil | Nil |
|-------------|-----------------|-----|-----|-----|-----|
| 5           | August, 2023    | Nil | Nil | Nil | Nil |
| 6           | September, 2023 | Nil | Nil | Nil | Nil |
| 7           | October, 2023   | Nil | Nil | Nil | Nil |
| 8           | November, 2023  | Nil | Nil | Nil | Nil |
| 9           | December, 2023  | Nil | Nil | Nil | Nil |
| 10          | January, 2024   | Nil | Nil | Nil | Nil |
| 11          | February, 2024  | Nil | Nil | Nil | Nil |
| Grand Total |                 | Nil | Nil | Nil | Nil |

<sup>\*</sup>Inclusive of complaints of previous months resolved in the current month.

#Inclusive of complaints pending as on the last day of the month.

## 3. Trend of annual disposal of complaints

| Sr.         | Year    | Carried forward from | Received | Resolved** | Pending ## |
|-------------|---------|----------------------|----------|------------|------------|
| No.         |         | Previous year        |          |            |            |
| 1           | 2021-22 | Nil                  | Nil      | Nil        | Nil        |
| 2           | 2022-23 | Nil                  | Nil      | Nil        | Nil        |
| 3           | 2023-24 | Nil                  | Nil      | Nil        | Nil        |
| Grand Total |         | Nil                  | Nil      | Nil        | Nil        |

<sup>\*\*</sup> Inclusive of complaints of previous years resolved in the current year.

## Inclusive of complaints pending as on the last day of the year.

## 4)To register a formal complaint with Mr. Sanjay Kabra – Compliance officer, please write to mbcompliance@pinc.co.in with your following details:

- i) Full name
- ii) Registered mobile number
- iii) PAN card
- iv) Nature of complaint

(On clicking the email id- the link will give direct access to mail from there, investor will mail mentioning above details)

5) SCORES website link <a href="https://scores.gov.in/scores/Welcome.html">https://scores.gov.in/scores/Welcome.html</a>
(On Clicking SCORES it will take directly to website to lodge the complaint)

6) **SMART ODR Link** https://smartodr.in/login